Phenotypic and dynamical transitions in model genetic networks II. 
Application to the evolution of segmentation mechanisms

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SUMMARY Knowledge of the genetic control of segmentation in Drosophila has made insect segmentation a paradigmatic case in the study of the evolution of developmental mechanisms. In Drosophila, the patterns of expression of segmentation genes are established simultaneously in all segments by a complex set of interactions between transcriptional factors that diffuse in a syncytium occupying the whole embryo. Such mechanisms cannot act in short germ-band insects where segments appear sequentially from a cellularized posterior proliferative zone. Here, we compare mechanisms of segmentation in different organisms and discuss how the transition between the different types of segmentation can be explained by small and progressive changes in the underlying gene networks. The recent discovery of a temporal oscillation in expression during somitogenesis of vertebrate homologs of the pair-rule gene hairy enhances the plausibility of an earlier proposal that the evolutionary origin of both the short- and long germ-band modes of segmentation was an oscillatory genetic network (Newman 1993). An implication of this scenario is that the self-organizing, pattern-forming system embodied in an oscillatory network operating in the context of a syncytium (i.e., a reaction-diffusion system)—which is hypothesized to have originated the simultaneous mode of segmentation—must have been replaced by the genetic hierarchy seen in modern-day Drosophila over the course of evolution. As demonstrated by the simulations in the accompanying article, the tendency for “emergent” genetic networks, associated with self-organizing processes, to be replaced through natural selection with hierarchical networks is discussed in relation to the evolution of segmentation.

INTRODUCTION

The special suitability of Drosophila melanogaster for genetic analysis has led to it being the best understood developmental system at the genetic level. In Drosophila segmentation, for example, a precise mechanistic understanding of how networks of gene products produce morphological patterns has emerged. Specifically, the formation of segments has been shown to depend on the prior establishment of spatial patterns of gene expression, including (depending on the gene) gradients or one to seven stripes arranged perpendicular to the anteroposterior axis of the embryo (Gilbert 2000). As discussed below, such patterns are conserved in many insects, and although they are relatively simple, the networks of gene product interactions that give rise to them are not.

In order to obtain a better understanding of the dynamics of pattern specification produced by such networks, mathematical models have been developed (Hunding et al. 1990; Reinitz and Sharp 1995). Although all models inevitably ignore some aspects of reality, such approaches are especially useful for integrating and predicting global effects of the manipulation or mutation of single genes (Reinitz and Sharp 1995; von Dassow et al. 2000).

During evolution, changes in gene expression patterns are produced by mutations affecting the genetic networks that generate such patterns. In the accompanying article, we have presented a strategy for simulating pathways of evolution of pattern-forming networks, as well as some results that suggest that powerful evolutionary inferences can be drawn from studying such model systems. The advantage of this approach is that it can correlate possible morphological transitions with changes at the molecular level. In addition, as we have shown, the variational properties exhibited by different types of networks are so different that strong inferences can be made about the underlying molecular bases of the origin and the stabilization of patterns and forms, despite the inherent historical nature of evolution. The aim of this article is to show how certain general results obtained by studying the evolution of model genetic networks can be applied to some paradigmatic evolutionary problems of insect segmentation.
Molecular mechanisms of segmentation
in Drosophila

The formation of overt segments in Drosophila requires the prior expression of a stripe of engrailed (en) expression in the posterior border of each presumptive segment (Karr et al. 1989). The positions of these stripes are largely determined by the activity of the pair-rule genes even-skipped (eve) and fuhsi-tarazu (fz), which exhibit complementary seven stripe patterns prior to the formation of the blastoderm (Frasch and Levine 1987b; Howard and Ingham 1986). The processes leading to the stripe patterns of the pair-rule genes involve a complex set of interactions among transcriptional factors in a syncytium that encompasses the entire embryo. It has been shown, for example, that the formation of particular eve stripes requires the existence of stripe-specific enhancers in the eve promotor (Small et al. 1992; Small et al. 1996; Small et al. 1991). These enhancers respond to specific combinations of gap gene products expressed at the location where the eve stripe will appear, suggesting that each stripe may be produced by the presence of a stripe-specific combination of upstream transcriptional factors.

It is possible to model the diffusion of transcription factors in a syncytium using much simpler molecular mechanisms to arrive at a pattern like that seen in Drosophila (Meinhardt 1982; Lacalli et al. 1988; Nagorcka 1988; Hunding et al. 1990; Goodwin and Kauffman 1990). Such reaction-diffusion mechanisms (Turing, 1952; Meinhardt 1982), which are essentially the same as the emergent networks we have discussed (Salazar-Ciudad et al. 2000; accompanying article), produce patterns by the reciprocal asymmetric interactions of diffusible gene products. In these mechanisms, each stripe is regulated by the same genes and in the same way. Although very different from the complicated genetic
circuitry by which *Drosophila* forms pair-rule stripes, reaction-diffusion mechanisms appear to be involved in the formation of pigment stripes in fish (Kondo and Asai 1995), eye spots on butterfly wings (Nijhout 1991), feather germs on bird skin (Jiang et al. 1999), and precartilage mesenchymal condensations in vitro (Miura and Shiota 2000a; Miura and Shiota 2000b).

**Molecular mechanisms of segmentation in species other than *Drosophila***

The expression of pair-rule genes and *engrailed* in many insects and arthropods other than *Drosophila* have also been explored. In *Schistocerca*, a short germ-band insect, no pair-rule genes have been found to be expressed in stripes, although the *en* homolog has been found in stripes marking the borders of segments (Patel et al. 1989; Patel et al. 1992). In *Tribolium*, an intermediate germ-band coleopteran, the homologs of *eve*, *hairy*, *ftz*, and *en* are expressed in a pattern similar to that found in the fruit fly (Brown et al. 1994a; Brown et al. 1994b; Patel et al. 1994; Sommer and Tautz 1993). In particular, there are stripes that appear in the syncytium, marking the presumptive segments that will arise within it. Posterior stripes appear in rows of cells arising from the posterior proliferative zone prior to the formation of corresponding segments (Fig. 1).

There is considerable variability in the modes of segmentation found in different insects. Even within a single order, different species can exhibit different segmentation types. In coleoptera, three different species exhibit different types of
segmentation (Patel et al. 1994), but in all cases the number of *eve* stripes appearing in the syncytium prefigures the number of segments. In fact, it has been suggested that long germ-band segmentation has arisen independently several times (Anderson 1973). But whereas the modes of segmentation may have changed, the patterns of pair-rule genes, and especially that of the segment polarity gene *engrailed*, seem to be highly conserved. Parasitoid wasps (hymenoptera) represent an extreme example in which *en* and *en*-like *eve* (Grbic et al. 1996) stripes appear, although the rest of the early development is highly derived. (Development of these organisms is polyembryonic and never produces a syncytium, and stripes are produced in a rapid antero-posterior progression).

Other arthropods also exhibit significantly conserved patterns of pair-rule and *en* genes. In crustaceans, most segments are also produced by posterior growth. In *Artemia* (Anacarida), the zone of growth consists of a disorganized blastema in the posterior extreme of the nauplius larva (Manzanares et al. 1993). In *Mysisium* (Malacostracea), in contrast, the nauplius exhibits two posterior teloblasts that asymmetrically divide to generate highly ordered antero-posterior lines of cells. In each case, stripes of the *en* homolog appear progressively as new cells are produced (Patel 1994). In chelicerates, where there is also a posterior zone of progressive addition of segments, it has been shown that the homolog of *eve* and two other pair-rule genes, *runt* and *hairy*, are expressed in a striped pattern that appears progressively as segment primordia (Damen et al. 2000).

In some annelids, such as the leech *Hirudinea*, *en* has also been found to exhibit a pattern marking segment borders (Weisblat et al. 1994). A similar pattern of *en* expression has even been found during the simultaneous formation of the first eight somites in cephalocordates (Holland et al. 1997). *Engrailed* homologs are expressed in a segmentation-like pattern of iterated stripes in chiton (polyplacophora mollusks) (Jacobs et al. 1994) and in the arms of starfish (asterioidea echinodermata) (Lowe and Wray 1997).

Based on the conservation of these gene expression patterns throughout the insects, and their similarities with those found in other groups, it seems reasonable to assume that the last common ancestor that *Drosophila* shares with the closest intermediate germ-band insect was itself an intermediate germ-band insect with a pattern of pair-rule and *en* expression similar to that found in *Drosophila*.

**A HYPOTHESIS ON MODES AND MECHANISMS OF SEGMENTATION**

Some tentative hypotheses have been proposed to explain how intermediate germ-band segmentation may function and how its transition to the long germ-band mode may have occurred. Some researchers (Tautz and Sommer 1995) suggest that segmentation gene products could be secreted and then diffuse between cells, which would have specific receptors for them. However, if the interactions between such gene products are similar to those found in *Drosophila*, the number of changes required for switching from these indirect transduction routes to a diffusion-mediated mechanism seems formidable. Gap junction coupling of cells is another possibility, but although the structure of arthropod gap junctions is not well understood, it seems unlikely that whole proteins would be able to pass through them. Other investigators have instead suggested that segmentation gene products may be located in the cytoplasm of the teloblast and become progressively diluted as cells bud off (Tautz and Sommer 1995; Patel 1994). None of these hypotheses has any experimental foundation, and all present difficulties of the sort discussed above in accounting for evolutionary transitions in segmentation mode.

An earlier hypothesis by one of us suggested a scenario for this transition that was not subject to the same problems (Newman 1993). The sequential appearance of gene expression stripes from the posterior proliferative zone can be explained if it is assumed that there is an internal clock that drives the level of expression of various genes in a periodic fashion. It was proposed that downstream genes regulated directly or indirectly by this clock, such as *engrailed*, took on fixed levels of expression in cells leaving the proliferative zone. If this clock, moreover, had a period different from that of the cell cycle, alternating populations of cells would leave the zone with different levels of *en* expression, which would recur at intervals represented by the lowest multiple of the regulatory clock and cell cycle times (Fig. 2). The sequential appearance of stripes (e.g., *eve*, *ftz*, or *en*) would thus arise by the extension of a temporal pattern, via growth, into a spatial pattern (Newman 1993).

The existence of biochemical clocks based on gene regulatory networks has been well-documented and even constructed by genetic engineering techniques (Elowitz and Leibler 2000; Judd et al. 2000). Even more interesting, for our purposes, is the finding that vertebrate somitogenesis requires the expression of homologs of the pair-rule gene *hairy* in a temporally oscillatory pattern (Palmeirim et al. 1997; Dale and Pourquié 2000; Holley et al. 2000). Significantly, the somites appear by the progressive anterior conversion of this temporally periodic pattern into a spatially periodic pattern in both chickens (Palmeirim et al. 1997) and zebrafish (Holley et al. 2000). The existence of this mechanism in vertebrates makes the clock model for short and intermediate germ-band insects plausible. A similar clock model has also been proposed for the leech (Weisblat et al. 1994).

The kinetic properties that give rise to a chemical oscillation (what mathematicians refer to as a “limit cycle”) can also, when one or more of the components is diffusible, give
Evolution of segmentation mechanisms

rise to standing or traveling spatial periodicities of chemical concentration (Epstein 1991; Boissonade et al. 1994; Mura- tow 1997). This transition occurs under particular ratios of reaction and diffusion coefficients. An important requirement of both these kinetic schemes is the presence of a direct or indirect positive autoregulatory circuit, a condition satisfied in Drosophila by both eve (Harding et al. 1989) and ftz (Schier and Gehring 1993). This was the basis of our proposal, that the short/intermediate germ-band–long germ-band transition can be explained by the consequences of allowing a molecular clock operating in a cellular system to come to operate in a syncytium (Newman 1993). And, indeed, the genetic network model described earlier and in the accompanying article has been used to show that many networks exhibiting temporarily oscillatory patterns when confined to a single cellular cytoplasm can produce stripe patterns when they are allowed to function in a syncytium (e.g., Fig. 3).

This hypothesis is especially useful in resolving the apparent paradoxes in insect segmentation outlined above. Thus, in this model, the transition from short/intermediate germ-band to long germ-band insects does not require many intermediate steps of implausible adaptability. Instead, the transition between one mode and another requires few mutational steps (or none, depending on the network considered). Also readily explained by this hypothesis is the presence of different modes of segmentation in species of the same order. The recurrent appearance of long germ-band segmentation in many independent lineages is a consequence, under this hypothesis, of this kind of transition being a generic variational property of the networks involved in short/intermediate germ-band segmentation. Because the emergent genetic networks hypothesized to underlie segmentation can readily generate different numbers of segments with small changes in dynamical parameters, the presence of different numbers of segments in related lineages is also readily accounted for. Finally, the presence of both mechanisms in a single embryo is also easily explained from this perspective.

The evolutionary transition between modes of segmentation, in this view, moreover, does not require the recruitment of a panoply of intercellular receptors or other unusual mechanisms of cell communication. However, despite its explanatory power, this hypothesis introduces a new puzzle of its own: Why does modern-day Drosophila not use a reaction-diffusion mechanism to produce its segments?

HIERARCHIC NETWORKS VS. REACTION-DIFFUSION MECHANISMS

In what follows, we will use the results of the simulations in the accompanying article to show why a periodicity-generating genetic network would tend to be replaced by an elaborate hierarchic network, like that actually found to underlie segmentation in Drosophila. Specifically, we consider how the tendency for one type of network to be selectively replaced by another relates to the molecular structure of the

Fig. 2. Model for the generation of segments in a zone of synchronized cell multiplication, by the temporal oscillation of the concentration of a molecule (e.g., en, ftz, hairy) that regulates expression of a segment polarity gene such as engrailed. The clock faces represent the phase of the cell cycle (C) and that of the periodically varying regulatory molecule (R). It is assumed in this example that the duration of the cell cycle is 3 h, the period of the chemical oscillation is 2 h, and that both cycles start together. During the first cell cycle, newly formed cells have a level of engrailed specified by the initial value of R (dark gray). During the second cell cycle, R is in mid-cycle, and the newly formed cells have a different level of engrailed (light gray). During the third cell cycle, R is again at its initial concentration, and the new cells have the first level of engrailed. The assumption of cell synchrony is for simplification of the model; the mechanism would also give rise to segments in a zone of asynchronous cell multiplication with local cell sorting-out. (Based on Newman 1993).
networks (on which mutations act), the phenotypes they produce (on which selection acts), and to the relationship between the genotypic and phenotypic levels. These characteristics are mainly related to the internal logic of such developmental mechanisms, and are extensively explored in the accompanying article. Here, we will apply such results to the transition between modes of segmentation.

In the accompanying article, we show that the category of gene networks encompassing reaction-diffusion (“emergent”) mechanisms can produce patterns with any number of stripes. In addition, these networks require few genes. As already noted, a genetic network producing a clock (and stripes over a spatial domain, when coupled with cell division) can produce simultaneously appearing stripes when acting in a syncytium. In these networks, the number of stripes can be regulated by making small changes in interaction strengths between transcription factors. We suggest in the accompanying article that their simplicity at the molecular level, and the spectrum of forms that they can generate, make emergent networks good candidates for involvement in the generation of novelty in developmental systems.

This is exemplified in our simulations of an evolutionary process in which genetic networks capable of reproducing and mutating were selected according to the degree of similarity between the patterns they produce and an arbitrary pattern, defined as optimal and consisting of a variable number
of equally spaced stripes. When the optimal pattern consisted of more than three stripes, the optimum was attained, most often, by an emergent network. Moreover, this model shows that networks forming stripes by hierarchic mechanisms (in which each stripe is regulated by a specific combination of upstream genes) always require a larger number of genes for forming the same number of stripes, which is the main reason such networks tend to appear later during evolution.

Several aspects of the molecular organization of hierarchic networks, however, favor their substitution for emergent networks by selection once a particular pattern has become established. This substitution cannot occur suddenly, because a hierarchic network capable of producing the same pattern as an emergent network is likely to require many genes and connections between its gene products. However, any intermediate step in such a transition would be adaptive in its own right. The reasons for this are multiple: First, patterns produced by hierarchic networks are more stable against mutational change than patterns produced by emergent networks. In particular, our simulations show that hierarchic networks have a higher chance than emergent networks of producing the same patterns if only minor mutations occur (see accompanying article). This is evolutionarily relevant because once an optimal pattern is attained, any variation changing it may be highly maladaptive and will be eliminated by conservative selection. This kind of selection is likely to have acted on pair-rule and en stripe patterns, as they appear to be highly conserved. Thus, once an optimal pattern is found, the advent of a simple hierarchic network producing part of the pattern (reinforcing one stripe against developmental or environmental noise, for example) will be immediately adaptive and will increase its frequency in the population.

A second consideration in the potential for replacement of emergent networks by hierarchic networks is the question of refinement of the patterns produced. Do either or both classes of mechanism allow the generation, under mutational change, of similar patterns with only subtle differences? Or, rather, does either class of mechanism fail to allow the production of small variations on similar patterns? We note that both possibilities have been observed in the morphological variation within populations (Alberch 1980; Cheverud et al. 1991; Nijhout 1991). The importance of such differences has been discussed (Alberch 1980), and they would clearly affect the maximum degree of adaptation achievable using a given mechanism. For example, in the cases of the hierarchic segmentation network employed by Drosophila, the levels of gene expression in each stripe can be independently regulated. In contrast, in emergent networks there is a reciprocal relationship among genes that results in each change affecting the whole pattern. From the existing comparative data concerning the patterns of gene expression, it seems reasonable to expect that few and small variations in the patterns of expression of segmentation genes are allowed by selection.

The replacement of emergent networks is thus favored as hierarchic networks produce a type of variation more suitable for the selective requirements of segmentation patterns. In addition, we have found that such adaptations are more rapidly achieved in hierarchic than emergent networks (see accompanying article). This is because the relationship between genotype and phenotype is closer in hierarchic networks. That is, similar hierarchic networks more often produce similar patterns. This implies that patterns of a similar adaptive value are genetically close to one another, and thus that the adaptive landscapes over which optima are attained are not very rugged (Kauffman and Levin 1987; Kauffman 1993). In contrast, genotype-phenotype relationships are less consistent in emergent networks. However, phenotypically gradual changes are more easily produced when there is a close correspondence between genotype and phenotype. Thus, hierarchic networks can adapt more rapidly to small changes in the optimal pattern as they exhibit a closer relationship between genotype and phenotype, and would therefore tend to prevail over a potentially emergent competitor. The evolutionary relevance of the relationship between genotype and phenotype has been discussed (Kauffman 1993), although the role of different types of developmental mechanism was not explicitly considered.

**CONCLUSIONS**

From the perspective outlined here and in the accompanying article, we suggest that insect segmentation was originally of the sequential mode seen in other arthropods. Subsequently, in many independent lineages, more posterior segments progressively appeared in the anterior syncytium. Initially, the segmentation gene stripes were generated by the cellular clock mechanism coupled to growth; when syncytia emerged, this clock mechanism became a standing wave-generating reaction-diffusion mechanism. Later, the mechanism forming each syncytial stripe was replaced by a hierarchic network.

Although it may appear from our model that the transition from short/intermediate germ-band to long germ-band modes of segmentation could have proceeded directly, with no intermediate stages, we believe this to be unlikely. Because the change in the network generating the stripe pattern may have been one of several alterations required for the transition in segmentation mode, it is reasonable to expect it to have been somewhat gradual.

On mechanistic grounds it is plausible that each time a new segment was formed from the anterior syncytial blastoderm, the network forming the corresponding stripes would progressively be replaced by a hierarchic one. Whereas the hierarchic networks for generating many stripes are complicated and therefore would not be expected to arise de novo.
(see accompanying article), the hierarchic networks implicated in generating only one stripe are simpler. On the other hand, the formation of segments from the proliferative zone may continue to use a clock mechanism, similar to that seen in vertebrate somitogenesis, because of the unavailability of readily achieved alternative mechanisms for generating sequential patterns.

As we suggest in the accompanying article and in previous analyses (Newman and Comper 1990; Newman 1993, 1994; Newman and Müller 2000), this dynamic of substitution between types of networks may be widespread in the evolution of development and form, providing insight into the origins of developmental canalization (Waddington 1957). Because the properties exhibited by different types of networks suggest that they will appear at different times and contexts in evolution and development, the analysis of variational properties of model genetic networks can provide an important means for interpreting and designing empirical studies on the ontogenetic and phylogenetic aspects of pattern and form.

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